

AGENT'S STAMP (IF APPLICABLE)

CAZENOVE | CAPITAL
MANAGEMENT

PEP TRANSFER APPLICATION FORM
B CLASS SHARE

*Commission %

Discount %

Agent number

* if left blank, full commission will be paid

Please read the Cazenove Investment Fund Company's Simplified Prospectus for B Class Shares and Cazenove Investment Fund Management Limited ('CIFM') PEP and CIFM ISA Terms and Conditions before completing this application form.

This application form is for transferring a PEP from another PEP Plan Manager.

Personal Details

Please ensure that you give us your full name, address, date of birth and National Insurance Number.

Please use BLOCK CAPITALS and ensure we have your forename(s) in full.

Title (Mr/Mrs/Miss/Other)

Surname

Forename(s)

Permanent Residential Address

Postcode

Daytime Telephone Number (*Will only be used in case of queries*)

If you already have an account with us please fill in the six digits of your Account Number (*if known*)

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Data Protection

Any personal data supplied by you and any further information received or obtained in connection with and for the performance of this PEP Agreement will be held, used and otherwise processed by us for the purpose of providing you with the services as described in the CIFM PEP and CIFM ISA Terms and Conditions.

This data will be passed to other associate companies of CIFM for the performance of the Agreement. We will not pass your personal data to other organisations unless it is necessary to fulfil our obligations under this Agreement or where required by any regulation or enactment, or with your consent.

Investment Details (*The minimum investment is £3,000 per fund.)

Fund	Shares	Amount to be invested if known* (if unknown use percentage figures which must total 100%)
UK and European Equities Funds		
Cazenove UK Growth & Income Fund	Accumulation Shares	£
Cazenove UK Growth & Income Fund	Income Shares	£
Cazenove UK Dynamic Fund	Accumulation Shares	£
Cazenove UK Opportunities Fund	Accumulation Shares	£
Cazenove UK Smaller Companies Fund	Accumulation Shares	£
Cazenove UK Equity Income Fund	Income Shares	£
Cazenove European Fund	Accumulation Shares	£
Global Funds		
Cazenove Portfolio Fund	Accumulation Shares	£

Fixed Income Funds

Cazenove UK Corporate Bond Fund	Income Shares	£
Cazenove Strategic Bond Fund	Income Shares	£
Cazenove Strategic Bond Fund	Accumulation Shares	£

If you are purchasing income shares, please tick one of these boxes. I would like: Income paid out to me Income reinvested

Income Payments (for share holders of income shares only)

If you purchase income shares you will be entitled to receive the income attributable to such shares at the relevant payment date.

Should you wish to receive the income payments, please supply the bank or building society details where income should be paid.

If you do not complete these details your income will be automatically reinvested.

Name of Bank/Building Society

Address of Branch

Postcode

Name of Account Holder(s)

Account Number

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Sort Code

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Building Society Roll Number (if applicable)

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Authorisation

This transfer form constitutes my request to Cazenove Investment Fund Management Limited to accept the transfer of the PEP account and to act as my PEP Manager; subject to acceptance of my application. I authorise Cazenove Investment Fund Management Limited: to hold my cash subscription, PEP investments, interest dividends and any other rights or proceeds in respect of those investments and any cash; to make on my behalf any claims to relief from tax in respect of PEP investments; and on my written request to transfer or pay to me, as the case may be, PEP investments, interest, dividend rights or other proceeds in respect of such investments or any cash.

I have read and agree to be bound by the Cazenove Investment Fund Management Limited PEP and ISA Terms and Conditions from time to time in force and give the warranties contained therein. I have read and understood the Simplified Prospectus for B Class Shares. I declare that this application has been completed to the best of my knowledge and belief at the date of the signature and will promptly inform Cazenove Investment Fund Management Limited of any changes to information herein.

Signature

Date

Professional Financial Adviser Details *(if applicable)*

Company Name _____
Consultant Name _____
Address _____
Postcode _____
Telephone Number _____
Email _____
Cazenove Agency Code *(if known)*

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Completed Forms

Once completed, this form should be returned in whole to your Independent Financial Adviser or direct to:

Cazenove Investment Fund Management Limited PEP
Cazenove Investment Fund Management Limited
PO Box 21072
London
E14 9GU

PLEASE ENSURE THAT YOU SIGN BOTH AUTHORISATIONS

PLEASE DO NOT DETACH

Notification to existing PEP Manager of intention to transfer a PEP to the Cazenove Investment Fund Management PEP.
PLEASE COMPLETE THIS FORM AND RETURN IT TO:
Cazenove Investment Fund Management Limited PEP, Cazenove Investment Fund Management Limited, PO Box 21072, London, E14 9GU.

Current PEP Manager *(Please use BLOCK CAPITALS)*

Account Manager Name _____
Address _____
Postcode _____
Account Number _____

Personal Details *(Please use BLOCK CAPITALS)*

Title (Mr/Mrs/Miss/Other) _____
Surname _____
Forename(s) _____
Permanent Residential Address _____
Postcode _____
Daytime Telephone Number *(Will only be used in case of queries)* _____
Date of Birth _____
Please enter your National Insurance Number *(or the crossed declaration)*

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This can be found on: P60, tax return, notice of coding or pension book.
If you have never been issued with a National Insurance Number please tick here
If you are the spouse of, or in a civil partnership with, a Crown employee please tick here

Enquiries

Should you have any questions about completing this application form please contact your Independent Financial Adviser or our client services line below.

Client Services Team 020 7155 5566

Telephone calls may be recorded for your protection.

Cazenove Investment Fund Management Limited

12 Moorgate London EC2R 6DA

Telephone +44 (0)20 7155 5600

www.cazenovecapital.com

Cazenove Investment Fund Management Limited is registered in England No 2134680

Registered Office 12 Moorgate London EC2R 6DA

Cazenove Investment Fund Management Limited is authorised and regulated by the Financial Services Authority and is a member of IMA

PLEASE ENSURE THAT YOU SIGN BOTH AUTHORISATIONS

PLEASE DO NOT DETACH

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Details of Account(s) to be Transferred

Please tick each box as appropriate.

Transfer all PEP holdings in full

or

Transfer specific whole tax year(s), enter year

Transfer part of a PEP, enter tax year(s)

Which part? Enter fund(s)

Enter amount £

Information for the current PEP Plan Manager

Cheques should be made payable to Cazenove Investment Fund Management Limited. Please forward this form together with the cheque, as soon as possible to:

Cazenove Investment Fund Management Limited, PO Box 21072, London, E14 9GU.

Authorisation for the current PEP Plan Manager

I hereby instruct you, as my current PEP Plan Manager, as detailed above, to liquidate the assets in my PEP and to transfer the proceeds to Cazenove Investment Fund Management Limited and to provide Cazenove Investment Fund Management Limited with any information it may request from you relating to my PEP. It is understood that you will be responsible for the collection of outstanding distributions unless alternative arrangements are agreed with you by Cazenove Investment Fund Management Limited. (NB. Deductions may be incurred relating to outstanding liabilities or charges according to the Terms and Conditions of the existing PEP.)

Signature

Date