

Cazenove Capital Multi-Manager Funds

Stocks & Shares ISA application form

For your own benefit and protection you should read carefully the Key Features and the Cazenove Multi-Manager Funds Stocks and Shares ISA Terms and Conditions before completing this application form. If you have any questions then please ask your Independent Financial Adviser or our client services team for further information. This application form is for lump sum investments into the Cazenove Multi-Manager Stocks and Shares ISA.

Agent's stamp (if applicable)

Commission %*

Discount %

Agent code

*if left blank, full commission will be paid

Personal details

Please ensure that you give us your full name, address, postcode, date of birth and National Insurance number. We cannot open your ISA without them.

Please use BLOCK CAPITALS and ensure we have your forename(s) in full.

Title (Mr/Mrs/Miss/Other)

Surname

Forename(s)

Permanent residential address

Postcode

Daytime telephone number (will only be used in case of queries)

Date of birth

Please enter your National Insurance number* (or tick the declaration below)
(*This can be found on: P60, tax return, notice of coding or pension book.)

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If you have never been issued with a National Insurance number please tick here

If you are the spouse of, or in a civil partnership with, a crown employee please tick here

If you already have an account with us please fill in the six digits of your client code (if known)

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Data protection

Any personal data supplied by you and any further information received or obtained in connection with and for the performance of this Agreement will be held, used and otherwise processed by us for the purpose of providing you with the services as described in the Key Features and the Cazenove Multi-Manager Stock and Shares ISA Terms and Conditions.

This data will be passed to associate companies of Cazenove Investment Fund Management Limited ('CIFM') for the performance of this Agreement. We will not pass your personal data to other organisations unless it is necessary to fulfil our obligations under this Agreement or where required by any regulation or enactment, or with your consent.

Tax year

You cannot invest more than £10,680 (please see note below) in total to a cash ISA and a stocks and shares ISA in the same tax year.

I apply to subscribe for a Stocks and Shares ISA

For the tax year 2011/2012

From 6 April 2011 the ISA limit for all subscribers who are 18 years of age or over was increased to £10,680 (of which up to £5,340 can be invested in a cash ISA).

Subscriptions for subsequent tax years

By applying to subscribe for an ISA for the tax year stated and each subsequent tax year, this does not mean that you are obliged to invest with CIFM in future tax years. If you wish to do so, you will not be required to complete a further application form.

Investment details

The maximum subscription in the Cazenove Multi-Manager Stocks and Shares ISA is £10,680. The minimum investment in each Fund or a unit class of each Fund is £3,000 other than for the Cazenove Multi-Manager Diversity Fund A Class units, the Cazenove Multi-Manager Diversity Tactical Fund A Class units and the Cazenove Diversity Income Fund A Class units where the minimum investment amount is £5000. We do not provide a monthly savings option.

The Cazenove Multi-Manager Funds offer both accumulation and income units. **We will automatically purchase accumulation units if you do not select income units by ticking the relevant box(es) below.**

			Income units*
Cazenove Multi-Manager Diversity Fund A Class	£	(min £5,000)	<input type="checkbox"/>
Cazenove Multi-Manager Diversity Fund B Class	£	(min £3,000)	<input type="checkbox"/>
Cazenove Multi-Manager UK Growth Fund	£	(min £3,000)	<input type="checkbox"/>
Cazenove Multi-Manager Global (ex UK) Fund	£	(min £3,000)	<input type="checkbox"/>
Cazenove Multi-Manager Diversity Tactical Fund A Class	£	(min £5,000)	<input type="checkbox"/>
Cazenove Multi-Manager Diversity Tactical Fund B Class	£	(min £3,000)	<input type="checkbox"/>
Cazenove Diversity Income Fund A Class	£	(min £5,000)	<input type="checkbox"/>
Cazenove Diversity Income Fund B Class	£	(min £3,000)	<input type="checkbox"/>

*If you are purchasing income units please tick one of these boxes:

I would like: Income paid out to me
Income will be paid to your nominated bank or building society account (see 'Income Payments').

Income reinvested

Payment details

Your cheque should be made payable to **Cazenove Investment Fund Management Limited**.

Building Society cheques must clearly identify the investor as the account holder, e.g. Cazenove Investment Fund Management Limited A/C A Jones.

Income payments (for holders of income units only)

If you purchase income units you will be entitled to receive income attributable to such units at the relevant payment date. Please complete this section to have the payments arising from your investment paid into your bank or building society account.

Name of bank/building society

Address of branch

Postcode

Name of account holder(s)

Account number

Sort code

Building society roll number (if applicable)

Declaration

Please sign the declaration below.

I declare that:

- all subscriptions made, and to be made, belong to me;
- I am 18 years of age or over;
- I have not subscribed and will not subscribe more than £10,680 in total to a cash ISA and a stocks and shares ISA in the 2011/2012 tax year;
- I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA;
- I am resident and ordinarily resident in the United Kingdom for tax purposes, or if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform CIFM if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I confirm that I am not a United States person (defined as any citizen or resident of the United States).

I authorise CIFM:

- to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
- to make on my behalf any claims to relief from tax in respect of ISA investments; and
- on my written request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any cash.

The Cazenove Multi-Manager Stocks and Shares ISA Terms and Conditions from time to time in force are those which we intend to rely on for the purpose of this Agreement. For your own benefit and protection you should read and understand the Terms and Conditions and the Key Features.

I declare that this application has been completed to the best of my knowledge and belief at the date of signature and will promptly inform CIFM of any changes to information herein.

Signature

Date

Professional financial adviser details (if applicable)Company name
.....Consultant name
.....Address
.....Postcode
.....Telephone number
.....Email
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Cazenove Capital Management's agent code (if known)

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Completed forms

Your cheque should be made payable to: **Cazenove Investment Fund Management Limited.**

Once completed this form should be returned with your cheque to your Independent Financial Adviser or direct to:

Cazenove Investment Fund Management Limited

PO Box 67546

London

EC2P 2JB

Enquiries

Should you have any questions about completing this application form please contact your Independent Financial Adviser or our client services team on **020 3479 0000.**

Telephone calls may be recorded for your protection.

Cazenove Investment Fund Management Limited

12 Moorgate London EC2R 6DA

www.cazenovecapital.com