

Stocks & Shares ISA application form

B Class shares

For your own benefit and protection you should read carefully Cazenove Investment Fund Company's Simplified Prospectus for B Class Shares and Cazenove Investment Fund Management Limited ('CIFM') ISA Terms and Conditions before completing this application form. If you do not understand any point please ask your Independent Financial Adviser or our client services team for further information.

This application form is for lump sum investments into a Cazenove Investment Fund Management Limited Stocks and Shares ISA (the 'CIFM ISA').

Agent's stamp (if applicable)

Commission %*

Discount %

Agent code

*if left blank, full commission will be paid

Personal details

Please ensure that you give us your full name, address, date of birth and National Insurance number. We cannot open your ISA without them.

Please use BLOCK CAPITALS and ensure we have your forename(s) in full.

Title (Mr/Mrs/Miss/Other)

Surname

Forename(s)

Permanent residential address

Postcode

Daytime telephone number (will only be used in case of queries)

Date of birth

Please enter your National Insurance number* (or tick the declaration below)
(*This can be found on: P60, tax return, notice of coding or pension book.)

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If you have never been issued with a National Insurance number please tick here

If you are the spouse of, or in a civil partnership with, a crown employee please tick here

If you already have an account with us please fill in the six digits of your client code (if known)

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Data protection

Any personal data supplied by you and any further information received or obtained in connection with and for the performance of the Agreement will be held, used and otherwise processed by us for the purpose of providing you with the services as described in the Simplified Prospectus and the CIFM ISA Terms and Conditions.

This data will be passed to associate companies of CIFM for the performance of the Agreement. We will not pass your personal data to other organisations unless it is necessary to fulfil our obligations under this Agreement or where required by any regulation or enactment, or with your consent.

Tax year

You cannot invest more than £10,680 (please see note below) in total to a cash ISA and a stocks and shares ISA in the same tax year.

I apply to subscribe for a Stocks and Shares ISA

For the tax year 2011/2012

and each subsequent tax year until further notice.*

* Please see Simplified Prospectus for further information.

† From 6 April 2011 the ISA limit for all subscribers who are 18 years of age or over was increased to £10,680 (of which up to £5,340 can be invested in a cash ISA).

Subscriptions for subsequent tax years

By applying to subscribe for an ISA for the tax year stated and each subsequent tax year, this does not mean that you are obliged to invest with CIFM in future tax years. If you wish to do so, you will not be required to complete a further application form. However, in the event that Her Majesty's Revenue and Customs require any ISA subscription made by you to be treated as void, all subscriptions made on the basis of this application form, whether in that or any subsequent tax year, will also be treated as void.

Investment details

The minimum investment is £3,000 per fund. The maximum you can invest in a stocks and shares ISA is £10,680 per tax year. We do not provide a monthly savings option.

Fund	Shares	Amount to be invested
UK and European Equity Funds		
Cazenove UK Growth & Income Fund	Accumulation shares	£
Cazenove UK Growth & Income Fund	Income shares	£
Cazenove UK Opportunities Fund	Accumulation shares	£
Cazenove UK Smaller Companies Fund	Accumulation shares	£
Cazenove UK Equity Income Fund	Income shares	£
Cazenove European Fund	Accumulation shares	£
Multi-Asset Global Funds		
Cazenove Multi-Manager Diversity Balanced Fund	Accumulation shares	£
Fixed Income Funds		
Cazenove UK Corporate Bond Fund	Income shares	£
Cazenove Strategic Bond Fund	Accumulation shares	£
Cazenove Strategic Bond Fund	Income shares	£

*If you are purchasing income units please tick one of these boxes:

I would like: Income paid out to me

Income reinvested

Income payments (for holders of income shares only)

If you purchase income shares you will be entitled to receive the income attributable to such shares at the relevant payment date.

Should you wish to receive the income payments, please supply the bank or building society details where income should be paid.

If you do not complete these details your income will be automatically reinvested.

Name of bank/building society

Address of branch

Postcode

Name of account holder(s)

Account number

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Sort code

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Building society roll number (if applicable)

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Declaration

Please sign the declaration below

I declare that:

- all subscriptions made, and to be made, belong to me;
- I am 18 years of age or over;
- I have not subscribed and will not subscribe more than £10,680 in total to a cash ISA and a stocks and shares ISA in the 2011/2012 tax year;
- I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA; and
- I am resident and ordinarily resident in the United Kingdom for tax purposes, or if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform CIFM if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I confirm that I am not a United States person (defined as any citizen or resident of the United States).

I authorise CIFM:

- to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
- to make on my behalf any claims to relief from tax in respect of ISA investments; and
- on my written request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any cash.

The CIFM ISA Terms and Conditions from time to time in force are those which we intend to rely on for the purpose of this Agreement. You should read and understand the Terms and Conditions and the Simplified Prospectus for B Class Shares.

I declare that this application form has been completed to the best of my knowledge and belief at the date of the signature and will promptly inform CIFM of any changes to information herein.

Signature

Date

Professional financial adviser details (if applicable)Company name
.....Consultant name
.....Address
.....Postcode
.....Telephone number
.....Email
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Cazenove Capital Management's agent code (if known)

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Completed forms

Your cheque should be made payable to: **Cazenove Investment Fund Management Limited.**

Building society cheques must clearly identify the investor as the account holder e.g. Cazenove Investment Fund Management Limited a/c A. Jones.

Once completed this form should be returned with your cheque to your Independent Financial Adviser or direct to:

Cazenove Investment Fund Management Limited

PO Box 67546

London

EC2P 2JB

Enquiries

Should you have any questions about completing this application form please contact your Independent Financial Adviser or our client services team on **020 3479 0000**.

Telephone calls may be recorded for your protection.

Cazenove Investment Fund Management Limited
12 Moorgate London EC2R 6DA
www.cazenovecapital.com